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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

50169/105/ENPO

In patent application of  
Wallace T.Y. TANG

Serial No. 08/401,229

Group Art Unit: 2501

Filed: March 9, 1995

Examiner: J. Lee

For: IN-SITU REAL-TIME MONITORING TECHNIQUE AND  
APPARATUS FOR ENDPOINT DETECTION OF THIN FILMS  
DURING CHEMICAL/MECHANICAL POLISHING  
PLANARIZATION

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

Serial No. 08/401,229

CONCISE EXPLANATION OF  
RELEVANCE OF EACH DOCUMENT

Applicant is submitting herewith on Form PTO-1449, a listing of the documents cited by or submitted to the Patent Office in parent application Serial No. 07/996,817, filed December 28, 1992. The relevance of these prior art documents is explained in the parent application.

As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously cited by or submitted to the Patent Office in parent application Serial No. 07/996,817 filed December 28, 1992.

The instant Information Disclosure Statement is being filed more than three (3) months after the filing date of the above-identified application and after the mailing date of the first Official Action. Therefore, a fee in the amount of \$210.00 in accordance with 37 CFR §1.17(p) is attached.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of

Serial No. 08/401,229

record in the present application and that an initialled copy of Form PTO-1449 be returned in accordance with M.P.E.P. § 609.

Respectfully submitted,

August 16, 1995

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